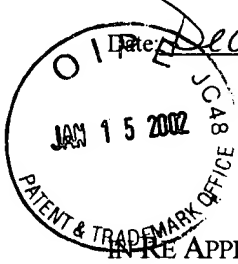


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PATENT # 3111
1-23-02

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IN RE APPLICATION OF:

Timothy J. Reardon *et al.*

SERIAL No.: 09/893,316

FILED: June 26, 2001

FOR: SEMICONDUCTOR PROCESSING
APPARATUS

EXAMINER:

ART UNIT: 1734

CONFIRMATION No.: 2811

ATTY DOCKET No.: 29195-8161US1

**Information Disclosure Statement Within Three Months of
Application Filing or Before First Action – 37 CFR 1.97(b)**

Commissioner for Patents
Washington, D.C. 20231

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

☒ Copies of the following references are enclosed:

- ☐ All cited references
- ☒ References not marked by asterisks
- ☐ The following:

☒ Copies of the following references can be found in parent applications Serial No. 09/575,965 filed May 23, 2000; Serial No. 08/883,393 filed June 26, 1997 (now U.S. Patent No. 6,066,575); Serial No. 08/422,485 filed April 12, 1995 (now U.S. Patent No. 5,658,387); Serial No. 07/855,767 filed March 18, 1992 (now U.S. Patent No. 5,431,421); Serial No. 07/665,942 filed March 6, 1991 (now U.S.

Patent No. 5,235,995); and Serial No. 07/526,243 (now U.S. Patent 5,188,887), priority to each of which is claimed under 35 USC §120:

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- ☐ All cited references
- ☒ References marked by asterisks
- ☐ The following:

- ☒ The following references are not in English. For each such reference, the undersigned has enclosed (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority citing the reference, (iii) a copy of a reference which appears to be an English-language counterpart, or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.

- ☐ All cited references
- ☒ References marked by ampersands
- ☐ The following:

3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment


No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

5. Patent Term Adjustment (37 CFR 1.704(d))

- ☐ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted,

Date: 21 Dec 01



Edward S. Hotchkiss
Registration No. 33,904

Correspondence Address:

Customer No. 25096

Phone: (206) 583-8888

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				COMPLETE IF KNOWN	
				Application Number	09/893,316
				Confirmation Number	2811
				Filing Date	06/26/2001
				First Named Inventor	Timothy J. Reardon et al
				Group Art Unit	1734
Examiner Name					
Sheet	1	of	5	Attorney Docket No.	29195-8161US

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*EXAMINER INITIALS	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
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		3,770,598		Creutz	11/6/73	
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* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).	

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				Application Number		09/893,316
				Confirmation Number		2811
				Filing Date		06/26/2001
				First Named Inventor		Timothy J. Reardon et al.
				Group Art Unit		1734
Examiner Name						
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		Application Number		09/893,316			
		Confirmation Number		2811			
		Filing Date		06/26/2001			
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		Group Art Unit		1734			
		Examiner Name					
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	4,879,007	Wong	11/7/89	
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<p>* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).</p>	

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				First Named Inventor	Timothy J. Reardon et al.
				Group Art Unit	1734
				Examiner Name	
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		Office	Kind Code Number (if known)				
		EPO	EP0184279	Hillman et al.	6/11/86		
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	&	JPO	JP 04131395	Toru et al.	5/6/92		

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		Confirmation Number		2811			
		Filing Date		06/26/2001			
		First Named Inventor		Timothy J. Beardon et al.			
		Group Art Unit		1734			
		Examiner Name					
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		Attorney Docket No.		29195-8161US			
	&	JPO	JP 04280993	Hirobumi et al.	10/6/92		
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OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS							
EXAMINER INITIALS	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.					T
		Evan E. Patton, et al., "Automated Gold Plate-Up Bath Scope Document and Machine Specifications", Tektronix Confidential, dated August 4, 1989, pages 1-13.					
		Tektronix Invention Disclosure Form (Company Confidential), not dated, 4 pages.					

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